

## PROPOSAL REQUEST

Today's Date: \_\_\_\_\_

Requested by: \_\_\_\_\_

Proposal for Plan Year: \_\_\_\_\_

Phone number: \_\_\_\_\_

This is for a: ☐ New Plan ☐ Takeover Plan

Email: \_\_\_\_\_

### Client Profile:

Company Name: \_\_\_\_\_

Contact: \_\_\_\_\_

Phone: \_\_\_\_\_

Address: \_\_\_\_\_

Date Business Commenced: \_\_\_\_\_

Fiscal Yearend: \_\_\_\_\_

Type of Entity: ☐ C-Corp ☐ S-Corp ☐ Partnership ☐ Not-for-profit ☐ Sole Proprietor

☐ LLC (taxed as ☐ Corp/ ☐ Partnership) ☐ Other: \_\_\_\_\_

Primary business is \_\_\_\_\_

List owners, officers and ownership percentage:

Name:	% Owned	Name	% Owned
_____	_____	_____	_____
_____	_____	_____	_____

(If any of the above own an interest in another company, please indicate with an \*)

Does the employer currently have a plan? ☐ No ☐ Yes Specify: \_\_\_\_\_

Additional comments about plan: \_\_\_\_\_

Are they interested in a specific plan? ☐ No ☐ Yes Specify: \_\_\_\_\_

Employer wishes to contribute approximately: \$ \_\_\_\_\_ per year.

The primary objective of the plan is (rate by importance 1-4, with 1 being first priority)

\_\_\_ Tax Deduction \_\_\_ Employee Attraction/Retention \_\_\_ Employee Incentive \_\_\_ Creditor Protection

Are there other desires or concerns? Please explain: \_\_\_\_\_

The completed forms can be given to your consultant or sent to Debbie Rath at [drath@nhhicks.com](mailto:drath@nhhicks.com),  
Chris Ressa at [cressa@nhhicks.com](mailto:cressa@nhhicks.com) or FAX (530) 891-4185.

## CENSUS FOR PROPOSAL

**Company:** \_\_\_\_\_ **Contact:** \_\_\_\_\_  
**Phone:** \_\_\_\_\_ **Entity:** \_\_\_\_\_ **Year End:** \_\_\_\_\_

**If you are requesting a Defined Benefit proposal, see note below for additional information needed.**

<b>Owners &amp; Employees</b>	<b>Birth Date</b>	<b>Hire Date</b>	<b>Total Annual Compensation</b>	<b>Annual Salary Deferral</b>	<b>1,000 Hours in Any Year</b>	<b>Term Date</b>	<b>Owner %, Officer, Family</b>
<b>Totals</b>							

**Note:** If requesting a proposal for a Defined Benefit Plan, also provide us with the owners' compensations for the 3 prior calendar years. Compensation is W-2 for C and S-Corporations, Schedule C Net-Income for Sole Proprietor and K-1 Net-income for Partnership.